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PTO/SB/21 (09-04 Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paper Application Number 10/646,458 Filing Date TRANSMITTAL <u> August 22, 2003</u> First Named Inventor **FORM** Kenneth COLLINS, et al. NOV 4 2005 Art Unit 1763 **Examiner Name** Maureen G. Arancibia sed for all correspondence after initial filing) Attorney Docket Number 006915 P01 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Law Office of Robert M. Wallace Signature Printed name Wallace Robert M. Reg. No. Date 29,119 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

Attorney Docket No. 006915 P01 RW Ref. No. APM/001-02-CP1-1-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kenneth COLLINS, et al.	) )	
	Group Art	Unit: 1763
Entitled: PLASMA IMMERSION ION )	)	
IMPLANTATION APPARATUS INCLUDING A )	Examiner:	Maureen G.
PLASMA SOURCE HAVING LOW	)	Arancibia
DISSOCIATION AND LOW MINIMUM PLASMA)	)	
VOLTAGE )	)	
	)	
Serial No.: 10/646,458	)	
	)	
Filing Date: August 22, 2003	)	

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c) PRIOR TO FINAL ACTION AND WITHIN THREE MONTHS OF RECEIVING FOREIGN PATENT OFFICE COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication (a copy of which is attached) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56 and the Manual of Patent Examining Procedures Section 707.5(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

Robert M. Wallace

Registration No. 29,119 Attorney for Applicants Customer No. 000044843

Robert M. Wallace Patent Attorney 2112 Eastman Avenue, Suite 102 Ventura, CA 93003 (805) 644-4035

**INFORMATION** DISCLOSURE STATEMENT BY APPENDENT

Sheet 1 of 1

NOV 1 4 2005 Application Number:

10/646,458

Filing Date:

August 22, 2003

First Named Inventor:

Kenneth COLLINS, et al.

Group Art Unit:

1763

**Examiner Name:** 

Maureen G. Arancibia

Attorney Docket Number:

006915 P01

#### **U. S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
	EP 1 158 071 A2	28.11.2001	EUROPE	APPLIED MATERIALS, INC.	N/A
	JP 070455542	14.02.1995	JAPAN	MATSUSHITA ELECTRIC IND CO LTD	N/A
	JP 2000150908	30.05.2000	JAPAN	SEMICONDUCTOR ENERGY LAB CO LTD	N/A
-					

Examiner Initials

### NON PATENT LITERATURE DOCUMENTS

Hu, C.-K., et al., "A process for improved Al(cu) reactive ion etching", Journal of Vacuum Science and Technology", May 1, 1989, pp. 682-685, Vol. 7, No. 3, American Institute of Physics, New York, U.S.

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.